

Brochure Supplement



18 SEWALL STREET

MARBLEHEAD, MA 01945

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WWW.MOODYALDRICH.COM

This brochure supplement provides information about William B. Moody, CFA and supplements the Moody Aldrich Partners, LLC brochure. You should have received a copy of that brochure. Please contact Amanda Velluto, Chief Compliance Officer if you did not receive Moody Aldrich Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about William B. Moody, CFA, is available on the SEC's website at www.adviserinfo.sec.gov.

March 16, 2021

William B. Moody, CFA

Educational Background and Business Experience

William B. Moody, Founding Member, was born in 1942. Mr. Moody received a B.S. degree in Economics from Syracuse University in 1965. In 1975, he became a [CFA charterholder](#). Additional information about the CFA Professional Credential is available at the end of this brochure supplement.

Mr. Moody began his career in 1965 as a security analyst at Massachusetts Mutual Life Insurance Co. His affiliation with The First National Bank of Boston and the Bank of Boston Corporation began in 1969 as a Portfolio Manager in the Bank's Endowment Management Division. In 1978 he was named Division Head of the Endowment Management Division and, as such, was responsible for all investments, marketing and administration. During 1980, the Bank of Boston Corporation restructured its institutional investment services by combining the Endowment Division and the Pension Division, forming the Institutional Investment Division. Mr. Moody served as Senior Vice President of the Institutional Investment Division until 1983.

During 1983, a further refinement of the Bank of Boston Corporation's investment activities resulted in the formation of Dewey Square Investors, which was responsible for the management of that Corporation's entire pension, profit-sharing and endowment assets. Mr. Moody served as President and Chief Investment Officer of Dewey Square Investors from 1984 to 1988. Mr. Moody is Senior Managing Principal at Moody Aldrich Partners, LLC. Mr. Moody is a member of the Boston Security Analysts Society and the Federation of Financial Analysts.

Disciplinary Information

Mr. Moody has no disciplinary history.

Other Business Activities

Mr. Moody is a Director of Harvest Funds Management, LLC and allocates a portion of his time to monitoring this business. Mr. Moody is a Partner of kWantix, LLC and allocates a portion of his time developing this business. See the [Affiliations](#) sub-section of the *Other Financial Industry Activities and Affiliations* section of the Moody Aldrich Partners, LLC brochure.

Additional Compensation

Mr. Moody receives an economic benefit from his membership in Harvest Funds Management, LLC, but otherwise receives no economic benefit (such as sales awards or other prizes) for providing advisory services. Please see the [Participation or Interest in Client Transactions](#) sub-section of the *Code of Ethics, Participation or Interest in Client Transactions and Personal Trading* section of the Moody Aldrich Partners, LLC brochure.

Supervision

Mr. Moody is a Senior Managing Principal of Moody Aldrich Partner, LLC. Mr. Moody leads the firm's management team and business strategy and oversees the firm's investment activities. MAP has a three member Board of Directors that meets several times a year to discuss business management of the firm.

Eli S. Kent is responsible for supervising the activities of Mr. Moody. Mr. Kent may be contacted by calling (781) 639-7054.

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March 16, 2021

Eli S. Kent

Educational Background and Business Experience

Eli S. Kent was born in 1973. Mr. Kent received a MBA from The F.W. Olin Graduate School of Business at Babson College in 2001 and a B.A. degree in Government and History from St. Lawrence University in 1995. From 1995 to 1998, Mr. Kent worked at Fidelity Investments in institutional client management and from 1998 to 2000, at MFS Investment Management as an Institutional Sales Representative. In 2002, Mr. Kent joined Moody Aldrich Partners as a Director and became a Partner in 2005. He serves as a Managing Principal.

Disciplinary Information

Mr. Kent has no disciplinary history.

Other Business Activities

Mr. Kent is a Director of Harvest Funds Management, LLC and allocates a portion of his time monitoring this business. Mr. Kent is a Partner of kWantix, LLC and allocates a portion of his time developing this business. See the *Affiliations* sub-section of the *Other Financial Industry Activities and Affiliations* section of the Moody Aldrich Partners, LLC brochure. Mr. Kent does not otherwise participate in outside business activities.

Additional Compensation

Mr. Kent receives an economic benefit from his membership in Harvest Funds Management, LLC, but otherwise receives no economic benefit (such as sales awards or other prizes) for providing advisory services. Please see the [Participation or Interest in Client Transactions](#) sub-section of the *Code of Ethics, Participation or Interest in Client Transactions and Personal Trading* section of the Moody Aldrich Partners, LLC brochure.

Supervision

Mr. Kent is a Managing Principal of Moody Aldrich Partners, LLC. Mr. Kent develops the firm's platform of strategies and assists and advises the firm's affiliated managers. MAP has a three member Board of Directors that meets several times a year to discuss business management of the firm.

William B. Moody is responsible for supervising the activities of Mr. Kent. Mr. Moody may be contacted by calling: (781) 639-9194.

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March 16, 2021

Robert C. Barringer, CFA

Educational Background and Business Experience

Robert C. Barringer was born in 1961. Mr. Barringer joined Eastern Shore Capital Management ("ESCM") as a Partner in October of 2012, and serves as Chief Investment Officer and Co-Manager of the ESCM Small Cap Equity and Smid Cap Equity strategies. He brings over twenty five years of investment experience to ESCM and has held senior roles in several firms. Prior to co-founding ESCM he served as a Managing Director and Portfolio Manager at FBR Asset Management, where he solely managed the FBR Small Cap Fund and was lead manager of the Large Cap Fund. Before joining FBR, he was a Senior Portfolio Manager and Director of Research at Citizens Funds. Prior to joining Citizens, Mr. Barringer served as Director and Co-Portfolio Manager at AEW Capital Management. While at AEW, he was co-portfolio manager of the AEW institutional separately managed public REIT portfolios. He began his career as a research analyst covering small and mid-cap banks and thrifts at Fidelity Investments. Mr. Barringer received his B.A. in Economics from Wesleyan University and his MBA from Harvard Business School. In 1997, he became a [CFA charterholder](#). Additional information about the CFA Professional Credential is available at the end of this brochure supplement.

Disciplinary Information

Mr. Barringer has no disciplinary history.

Other Business Activities

Mr. Barringer participates in no outside business activities and receives no outside compensation, bonuses or non-cash compensation.

Additional Compensation

Mr. Barringer receives no economic benefit (such as sales awards or other prizes) for providing advisory services.

Supervision

Mr. Barringer is a member of the Eastern Shore Capital Management investment team. The investment team exchanges ideas and analyzes investment opportunities in accordance with the style and mandate of each strategy. Investment decisions and portfolio activity are monitored as a team by the three supervised persons listed as principals of Eastern Shore Capital Management in this Brochure Supplement. All client accounts are additionally reviewed at least monthly by the trading and operations staff for drift from the model portfolio and to detect unauthorized trading activity.

James M. O'Brien and Sarah L. Westwood are responsible for supervising the advisory activities of Mr. Barringer. Mr. O'Brien may be contacted by calling (781)639-9195; Ms. Westwood may be contacted by calling (781) 639-9193.

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This brochure supplement provides information about James M. O'Brien, CFA and supplements the Moody Aldrich Partners, LLC brochure. You should have received a copy of that brochure. Please contact Amanda Velluto, Chief Compliance Officer if you did not receive Moody Aldrich Partners, LLC's brochure or if you have any questions about the contents of this supplement.

March 16, 2021

James M. O'Brien, CFA

Educational Background and Business Experience

James M. O'Brien was born in 1967. He joined Eastern Shore Capital Management ("ESCM") as a Partner in October of 2012, and serves as Co-Manager of the ESCM Small Cap Equity and Smid Cap Equity strategies. Prior to co-founding ESCM, Jim served as a member of the investment team for eight years at Moody Aldrich Partners: he began as a Senior Analyst, became an Associate Portfolio Manager in 2007, and was later named the Lead Portfolio Manager on the firm's Small Cap Value strategy. Previously, he was an Equity Research Analyst at Citizens Funds for four years. Prior to that, he was a Financial Analysis Technology Strategist at Primark. Jim's experience also includes Financial Technology Business Development at One Source Information Services, Senior Fund Accountant at Fidelity Investments, and Account Specialist at Boston Safe Deposit & Trust Company. Jim earned a Masters Certificate in Accountancy and a B.S. Degree in Economics and Finance at Bentley University. In 2001, he became a [CFA charterholder](#). Additional information about the CFA Professional Credential is available at the end of this brochure supplement.

Disciplinary Information

Mr. O'Brien has no disciplinary history.

Other Business Activities

Mr. O'Brien participates in no outside business activities and receives no outside compensation, bonuses or non-cash compensation.

Additional Compensation

Mr. O'Brien receives no economic benefit (such as sales awards or other prizes) for providing advisory services.

Supervision

Mr. O'Brien is a member of the Eastern Shore Capital Management investment team. The investment team exchanges ideas and analyzes investment opportunities in accordance with the style and mandate of each strategy. Investment decisions and portfolio activity are monitored as a team by the three supervised persons listed as principals of Eastern Shore Capital Management in this Brochure Supplement. All client accounts are additionally reviewed at least monthly by the trading and operations staff for drift from the model portfolio and to detect unauthorized trading activity.

Robert C. Barringer and Sarah L. Westwood are responsible for supervising the advisory activities of Mr. O'Brien. Mr. Barringer may be contacted by calling (781)639-9113; Ms. Westwood may be contacted by calling (781) 639-9193.

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Sarah L. Westwood, CFA, CMT

Educational Background and Business Experience

Sarah L. Westwood was born in 1974. She joined Eastern Shore Capital Management ("ESCM") as a Partner in March of 2013, and serves as Co-Manager of the ESCM Small Cap Equity and Smid Cap Equity strategies. Prior to joining the firm from Fidelity Investments, she served on the investment team at Moody Aldrich Partners as an Associate Portfolio Manager from 2008 to 2012. She joined Moody Aldrich following eight years at Putnam Investments, where she served as a Senior Vice President and Analyst on the firm's Strategic Research Team. She began her career as a Research Associate for Harvard Business School, and later joined Cobey, Jacobson, and Gordon, a boutique investment management firm serving high net worth clients. A former Captain, Military Intelligence, U.S. Army Reserve, Ms. Westwood served in Baghdad during Operation Iraqi Freedom, 2003-2004. She earned a B.A. degree in English and Art History, Phi Beta Kappa from Wellesley College. In 2002, she became a [CFA charterholder](#). Additional information about the CFA Professional Credential is available at the end of this brochure supplement. In 2011, she became a Chartered Market Technician (CMT) charterholder. Additional information about the CMT Professional Credential is available at the end of this brochure supplement.

Disciplinary Information

Ms. Westwood has no disciplinary history.

Other Business Activities

Ms. Westwood participates in no outside business activities and receives no outside compensation, bonuses or non-cash compensation.

Additional Compensation

Ms. Westwood receives no economic benefit (such as sales awards or other prizes) for providing advisory services.

Supervision

Ms. Westwood is a member of the Eastern Shore Capital Management investment team. The investment team exchanges ideas and analyzes investment opportunities in accordance with the style and mandate of each strategy. Investment decisions and portfolio activity are monitored as a team by the three supervised persons listed as principals of Eastern Shore Capital Management in this Brochure Supplement. All client accounts are additionally reviewed at least monthly by the trading and operations staff for drift from the model portfolio and to detect unauthorized trading activity.

James M. O'Brien and Robert C. Barringer are responsible for supervising the advisory activities of Ms. Westwood. Mr. O'Brien may be contacted by calling (781)639-9195; Mr. Barringer may be contacted by calling (781)639-9113.

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Philippe L. Rolland

Educational Background and Business Experience

Philippe L. Rolland was born in 1971. He joined Global Value Advisors (“GVA”) as a Partner in March of 2018, and serves as Chief Investment Officer and Co-Manager of the GVA International Small Cap strategy and GVA Global strategy. He joined Grantham, Mayo, Van Otterloo (GMO) in Boston in 1997 as a real estate analyst, picking European stocks for the Global Properties Fund. In 2000, he became co-portfolio manager for the GMO Global Active Equity Fund where he was responsible for investments in global industries (developed and emerging markets). He was made Partner in 2008 and was a portfolio manager for European countries and industries from 2008 to 2017 for large cap and small cap international strategies.

Philippe graduated in 1993 from the Paris Business School (ISC) and completed a Master's Degree in International Business at the University of Normandy, France, in 1995. He served in the French Navy for one year in 1996.

Disciplinary Information

Mr. Rolland has no disciplinary history.

Other Business Activities

Mr. Rolland participates in no outside business activities and receives no outside compensation, bonuses or non-cash compensation.

Additional Compensation

Mr. Rolland receives no economic benefit (such as sales awards or other prizes) for providing advisory services.

Supervision

Mr. Rolland is a member of the Global Value Advisors investment team. The investment team exchanges ideas and analyzes investment opportunities in accordance with the style and mandate of each strategy. Investment decisions and portfolio activity are monitored as a team by the three supervised persons listed as partners of Global Value Advisors in this Brochure Supplement.

Todd Bassion and Mathew Marotta are responsible for supervising the advisory activities of Mr. Rolland. Mr. Bassion and Mr. Marotta may be contacted by calling (781) 639-2750.

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Todd A. Bassion, CFA

Educational Background and Business Experience

Todd A. Bassion was born in 1977. He joined Global Value Advisors (“GVA”) as a Partner in March of 2018, and serves as Co-Manager of the GVA International Small Cap strategy and GVA Global strategy. Todd brings over 18 years of investment experience to GVA having previously spent his career at Macquarie Group. The original firm, ValueQuest/TA, was acquired several times during his tenure – first by Thomas Weisel Partners, then by Delaware Investments, and finally by Macquarie Group in 2010. He began as a Research Assistant and was internally promoted to Research Associate in 2001, Analyst in 2002, and Senior Analyst in 2004. In 2006, Todd was named Portfolio Manager on Delaware’s International Value equity fund and Global Value equity fund where he was responsible for co-managing approximately \$1.4 billion in assets.

Todd received his B.A. in Economics, Phi Beta Kappa from Colorado College. He is a member of the CFA Society Boston, Inc. and the CFA Institute. Additional information about the CFA Professional Credential is available at the end of this brochure supplement.

Disciplinary Information

Mr. Bassion has no disciplinary history.

Other Business Activities

Mr. Bassion participates in no outside business activities and receives no outside compensation, bonuses or non-cash compensation.

Additional Compensation

Mr. Bassion receives no economic benefit (such as sales awards or other prizes) for providing advisory services.

Supervision

Mr. Bassion is a member of the Global Value Advisors investment team. The investment team exchanges ideas and analyzes investment opportunities in accordance with the style and mandate of each strategy. Investment decisions and portfolio activity are monitored as a team by the three supervised persons listed as partners of Global Value Advisers in this Brochure Supplement.

Philippe Rolland is responsible for supervising the advisory activities of Mr. Bassion. Mr. Rolland may be contacted by calling (781) 639-2750.

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This brochure supplement provides information about Mathew C. Marotta, CFA, which is provided for informational purposes only and supplements the Moody Aldrich Partners, LLC brochure. You should have received a copy of that brochure. Please contact Amanda Velluto, Chief Compliance Officer if you did not receive Moody Aldrich Partners, LLC's brochure or if you have any questions about the contents of this supplement.

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Mathew C. Marotta

Educational Background and Business Experience

Mathew C. Marotta was born in 1980. He joined Global Value Advisors (“GVA”) as a Partner in March of 2018, and is responsible for quantitative analysis and portfolio construction and implementation on Global Value Advisors’ strategies. He also contributes to investment research. Mathew brings over fifteen years of industry experience to GVA. Prior to co-founding GVA, he was a member of the international active investment division at Grantham, Mayo, Van Otterloo (GMO), where he was responsible for the portfolio construction, implementation and analysis for the team’s global and international strategies. Previously at GMO, Mathew was a member of the asset allocation investment division as a systems engineer for the derivatives trading desk, and was also a member of the information technology group as a software engineer for the operations team. Prior to joining GMO in 2007, he was a financial analyst at Fidelity Investments.

Mathew received his B.S. in Business Administration from Babson College. He is a Level I candidate in the CFA Program. Additional information about the CFA Professional Credential is available at the end of this brochure supplement.

Disciplinary Information

Mr. Marotta has no disciplinary history.

Other Business Activities

Mr. Marotta participates in no outside business activities and receives no outside compensation, bonuses or non-cash compensation.

Additional Compensation

Mr. Marotta receives no economic benefit (such as sales awards or other prizes) for providing advisory services.

Supervision

Mr. Marotta is a member of the Global Value Advisors investment team. The investment team exchanges ideas and analyzes investment opportunities in accordance with the style and mandate of each strategy. Investment decisions and portfolio activity are monitored as a team by the three supervised persons listed as partners of Global Value Advisors in this Brochure Supplement.

Philippe Rolland is responsible for supervising the advisory activities of Mr. Marotta. Mr. Rolland may be contacted by calling (781) 639-2750.

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March 16, 2021

Richard J. Bonzagni

Educational Background and Business Experience

Richard J. Bonzagni was born in 1970. He joined Moody Aldrich Partners, LLC ("MAP") as the Head of Business Development and Client Service in February of 2020, and is responsible for new business development, consultant relations and client services. Prior to joining MAP, he spent 6 years as Managing Director, Consultant Relations at Manulife Investment Management, a global multi-boutique investment management firm. His responsibilities included developing relationships at global, national and regional institutional investment consulting firms where he represented fixed income, equity and private market strategies. For 13 years prior to Manulife, he worked at Cadence Management, a boutique equity investment management firm, where he was a Principal responsible for new business development, consultant relations and client service.

Richard received his B.A. in Political Science from the University of Massachusetts at Amherst.

Disciplinary Information

Mr. Bonzagni has no disciplinary history.

Other Business Activities

Mr. Bonzagni participates in no outside business activities and receives no outside compensation, bonuses or non-cash compensation.

Additional Compensation

Mr. Bonzagni receives no economic benefit (such as sales awards or other prizes) for providing advisory services.

Supervision

Mr. Bonzagni is responsible for new business development, consultant relations and client services reporting to the Managing Partners of MAP. Mr. Bonzagni is expected to consult with and provide periodic reports to the Business Management Committees of each investment boutique in order to strategically position the firm to attract and retain institutional clients.

William B. Moody and Eli S. Kent are responsible for supervising the advisory activities of Mr. Bonzagni. Mr. Moody and Mr. Kent may be contacted by calling (781) 639-2750.

Professional Credentials

Chartered Financial Analyst (CFA)

The Chartered Financial Analyst (CFA) charter is a professional designation established in 1962 and awarded by the CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments and portfolio management and wealth planning. In addition, CFA charterholders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Chartered Market Technician (CMT)

The Chartered Market Technician (CMT) charter is a professional designation established in 1989 and awarded by Market Technicians Association. To earn the CMT charter, candidates must pass three sequential examinations. The three levels of the CMT Program test a wide range of investment topics, including ethical and professional standards, market indicators, cycles, money and risk management and trend analysis. In addition, CMT charterholders must have at least three years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the Market Technicians Association's Code of Ethics and Standards of Professional Conduct.
